

# financial services

## Capital advice.

In an unpredictable market with evolving regulations, you need experience you can trust. At Katz, Sapper & Miller, we are just that. Our team has what you need to navigate the ever-changing market.

### WE HAVE EXPERIENCE IN THE FOLLOWING AREAS:

#### **Alternative Investments**

We work with private equity funds, hedge funds, funds-of-funds, distressed debt funds, and more. In addition to conducting audits of financial statements, we consult on partnership formation structuring and agreements along with tax structuring and the implications for alternative investments. The alternative investment industry is filled with both opportunity and risk. Our bottom line is helping you make the right decisions that will allow you to take full advantage of your investment opportunities.

#### **Broker-Dealers**

Navigating the ever-changing regulatory environment can be complex. Our team of professionals at Katz, Sapper & Miller has the experience and industry knowledge to lead you in the right direction. We help broker-dealers – whether a start-up or a mature company – with tax planning and compliance, evaluation and improvement of internal controls, and the provision of due diligence services for transactions. We also address regulatory matters on behalf of our clients and provide other strategic services.

#### **Private Equity**

When choosing a firm to work with, experience and reputation matter. With a solid reputation in the industry and years of experience working with domestic and international private equity funds, Katz, Sapper & Miller has the depth to provide the strategic guidance to traverse the unpredictable market. Beyond standard due diligence, audit, and tax work, KSM can provide comprehensive services to grow with your fund from formation to exit.

#### **RIAs**

In a complex industry with increasing regulation, Katz, Sapper & Miller provides complete services to registered investment advisors – whether SEC-registered or state-registered. Some of these services include surprise examinations as allowed for under the Custody Act, tax services, forensic accounting, due diligence (quality of earnings), internal auditing, and valuation services.